

UTILITY
PATENT APPLICATION
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket
No.

P01,0121

First Named Inventor Application Identifier

Tony Albrecht et al,

Express Mail Label No: # EJ077704690US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification [Total Pages 39]
2. ☒ Drawing(s) (35USC 113) [Total Pages 14]
3. ☒ Declaration and Power of Attorney [Total Pages 2]

- a. ☐ Newly executed (Original copy)
b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
Inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 3b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity Status (37 CFR 1.27)
12. ☐ Certified Copy of 2 Priority Document(s) German
Application No. 100 26 734.3 filed May 30, 2000 and
No. 101 18 079.4 filed February 20, 2001
13. ☐ Other:

44. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: /

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS 20	29	9	\$18.00	\$162.00
INDEPENDENT CLAIMS 3	3			
ANY MULTIPLE DEPENDENT CLAIMS? (YES) (X) NO				
			TOTAL FILING FEE ->	\$872.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 501-519. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$ 872.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS

SCHIFF HARDIN & WAITE

Patent Department

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SIGNATURE:

JS/VS:BC

DATE: April 2, 2001

U-11

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number EJ 077704690 US

Date of Deposit: April 2, 2001



I hereby certify that the following is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Proposed Patent Application for TONY ALBRECHT, NORBERT LIDER and JOHANN LUFT entitled "OPTICALLY PUMPED, SURFACE-EMITTING SEMICONDUCTOR LASER DEVICE AND METHOD FOR THE MANUFACTURE THEREOF" consisting of specification, claims, 14 sheets of drawings, Attorney Docket No. P01,0121

A handwritten signature in cursive script, appearing to read "Sharon Penke", written over a horizontal line.

Signature of person
mailing application

Name of person mailing
application

SCHIFF HARDIN & WAITE

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April 2, 2001

Hon. Assistant Commissioner for Patents
Washington D.C. 20231

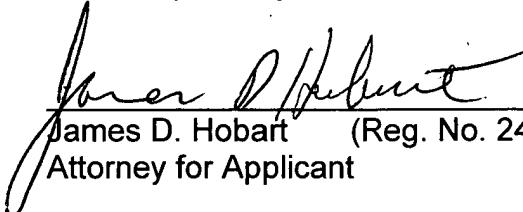
Re: Proposed Patent Application for TONY ALBRECHT, NORBERT LINDER,
and JOHANN LUFT entitled "OPTICALLY PUMPED, SURFACE-
EMITTING SEMICONDUCTOR LASER DEVICE AND METHOD FOR
THE MANUFACTURE THEREOF" Attorney Docket No. P01,0121

SIR:

Under the provisions of 37 CFR § 1.41 (c), I am filing the attached application with 29 claims, 14 sheets of drawings and filing fee on behalf of TONY ALBRECHT, NORBERT LINDER and JOHANN LUFT and request that the application papers be assigned a serial number and filing date.

I request that the application be assigned a Serial No. and Filing Date pursuant to the provisions of 37 C.F.R. § 1.53(b) and 37 C.F.R. § 1.53(f).

Respectfully submitted,


James D. Hobart (Reg. No. 24,149)
Attorney for Applicant

100-304230